

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 20750-047US1	Application No. 10/573,196
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Brian Smith et al.	
		Filing Date March 29, 2007	Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	A1	US 3652543	3/28/72	Hoegerle			
	A2	US 3716639	2/13/73	Hoegerle et al.			
	A3	US 3795683	3/05/74	Brossi et al.			
	A4	US 4108989	8/22/78	Holden			
	A5	US 4111957	9/05/78	Holden et al.			
	A6	US 4210749	7/01/80	Shetty			
	A7	US 4210729	7/01/80	Bola V. Shetty			
	A8	US 4233217	11/11/80	Shetty			
	A9	US 5387685	2/07/85	Powell et al.			
	A10	US 4762845	8/09/88	Chu et al.			
	A11	US 4988690	1/29/91	Effland et al.			
	A12	US 5015639	5/14/91	Berger et al.			
	A13	US 5178786	1/12/93	Jahnke et al.			
	A14	US 5387685	7/16/93	Powell et al.			
	A15	US 5275915	1/04/94	Kojima et al.			
	A16	US 5412119	5/02/95	Brussee et al.			
	A17	US 5422355	6/06/95	White et al.			
	A18	US 5691362	11/25/97	McCormick et al.			
	A19	US 5750520	5/12/98	Danilewicz et al.			
	A20	US 5856503	1/05/99	Aebi et al.			
	A21	US 5861393	1/19/99	Danilewicz et al.			
	A22	US 5925651	7/20/99	Hutchinson			
	A23	US 5939415	8/17/99	Laufer et al.			
	A24	US 5942535	8/24/99	Laufer et al.			
	A25	US 5958943	9/28/99	Laufer et al.			
	A26	US 6087346	7/11/00	Glennon et al.			
	A27	US 6218385	4/17/01	Adam et al.			

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	A28	US 6218385	4/17/01	Geo Adam et al.			
	A29	US 6900313	5/31/05	Wasserscheid et al.			
	A30	US 6953787	10/11/05	Smith et al.			
	A31	US 6972295	12/06/05	Hagmann et al.			
	A32	2008/0009478	01/10/08	Smith et al.			

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation
							Yes No
	A33	DE 1944121	3/19/70	Germany			
	A34	CH 500194	1/29/71	Switzerland			
	A35	GB 1221324	2/03/71	United Kingdom			
	A36	GB 1225053	3/17/71	United Kingdom			
	A37	GB 1268243	3/22/72	United Kingdom			
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	A46	EP 0174118 A2	3/12/86	Europe			
	A47	EP 0285287 A3	3/23/87	Europe			
	A48	EP 0285919	10/12/88	Europe			
	A49	WO 8807858	10/20/88	WIPO			
	A50	WO 9119698	12/26/91	WIPO			
	A51	WO 9300094	1/07/93	WIPO			

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	A53	JP 06298746	10/25/94	Japan			
	A54	WO 9513274	5/18/95	WIPO			
	A55	CA 2197789	8/16/95	Canada			
	A56	WO 9604271	2/15/96	WIPO			
	A57	WO 9605194	2/22/96	WIPO			
	A58	JP 08134048	5/28/96	Japan			
	A59	WO 9633993	10/31/96	WIPO			
	A60	JP 09030960	2/04/97	Japan			
	A61	JP 90987258	3/31/97	Japan			
	A62	WO 97/24364	7/10/97	WIPO			
	A63	WO 9806701	2/19/98	WIPO			
	A64	WO 9840385	9/17/98	WIPO			
	A65	WO 99/24411	5/20/99	WIPO			
	A66	EP 0987235 A1	3/22/00	Europe			
	A67	EP 1074549 A2	2/07/01	Europe			
	A68	WO 0240471	5/23/02	WIPO			
	A69	WO 0248124	6/20/02	WIPO			
	A70	WO 02074746	9/26/02	WIPO			
	A71	WO 03000663	1/03/03	WIPO			
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	A73	WO 2003/062392	7/31/03	WIPO			
	A74	WO 03086306	10/23/03	WIPO			
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	A77	WO2005/003096A1	01/13/2005	PCT			
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	A81	WO 2006/043710	4/27/2006	WIPO				
	A82	WO2006/069363A2	06/29/06	WIPO				
	A83	WO2006/071740A2	07/06/06	WIPO				

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	A86	Chang et al., "Dopamine receptor binding properties of some 2, 3, 4, 5-tetrahydro-1H-3-benzazapine-7-ols with non-aromatic substituents in the 5-position", <i>Bioorg. Med. Chem. Lett.</i> 2:399-402 (1992)
	A87	Deady et al., "Synthesis of some tetrahydro-2-and 3-benzazepines, and of hexahydro-3-benzazocine", <i>Journal of the Chemical Society, Perkins Transactions 1</i> , 1973 pp. 782-783
	A88	Di Chiara G., (2002) <i>Behavioural Brain Research</i> , 137: 75-114
	A89	Di Chiara et al., "Reward System and Addiction: What Dopamine Does and Doesn't Do", <i>Current Opinion in Pharmacology</i> 7:69-76 (2007)
	A90	Diagnostic and Statistical Manual of Mental Disorders, 4th edition, Text Revision, Washington, DC, American Psychiatric Association, 2000*
	A91	Di Matteo et al., "Role of 5-HT _{2C} Receptors in the Control of Central Dopamine Function", <i>Trends in Pharmacological Sciences</i> 22(5):229-232 (2001)
	A92	Flannery-Schroeder, E. C., "Reducing Anxiety to Prevent Depression", <i>Am. J. Prev. Med.</i> 31(6S1):S136-S142 (2006)
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	A95	Gardent et al., "Sur quelques de l'amino-2-bromo-4 1H benzazepine-3 et de ses derives", <i>Bull Soc. Chim. France</i> 2:600-605 (1968)
	A96	Gerace et al., "Predictors of Weight Increases over 7 Years in Fire Fighters and Paramedics", <i>Preventive Medicine</i> 94:593-600 (1996)
	A97	Gobert et al., (2000) <i>Synapse</i> 36: 205-221
	A98	Gombar et al., "Pharmacokinetics of a series of 6-chloro-2, 3, 4, 5-tetrahydro-3-substituted-1H-3-benzazepines in rats", <i>Drug Metab. Disposition</i> 16:367-372 (1988)

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	A100	Halford et al., "Serotonergic Drugs: Effects on Appetite Expression and Use for the Treatment of Obesity", <i>Drugs</i> 67(1):27-55 (2007)
	A101	Halford, J.C.G., "Obesity Drugs in Clinical Development", <i>Current Opinion in Investigational Drugs</i> 7(4):312-318 (2006)
	A102	Hassine-Coniac et al., "Preparation et properites d'aldehydes dans la serie de la benzazapine-3", <i>Bull Soc. Chim. France</i> 11:3985-3992 (1971)
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	A109	Jenck et al., (1998) <i>European Neuropsychopharmacology</i> 8: 161
	A110	Jensen et al., "Potential Role of New Therapies in Modifying Cardiovascular Risk in Overweight Patients with Metabolic Risk Facts", <i>Obesity</i> 14 (Suppl. 3):143S-149S (2006)
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	A112	Koplan et al., "Preventing Childhood Obesity: Health in the Balance, Executive Summary", http://www.nap.edu/catalog/11015.html , 41 pages (2005)
	A113	Krull, O. and Wunesh, B., "Synthesis and Structure/NMDA Receptor Affinity Relationships of 1-Substituted Tetrahydro-3-benzazepines", <i>Bioorganic and Medicinal Chemistry</i> , 12,pp. 1439-1451, 2004.
	A114	Ladd et al., "Synthesis and dopaminergic binding of 2-aryldopamine analogues: phenethylamines, 2-benzazepines, and 9-(Aminomethyl) fluorenes", <i>J. Med. Chem.</i> , Vol. 29:1904-1912 (1986)
	A115	Lam RW, Levitt AJ (1999) (eds) Canadian Consensus Guidelines for the Treatment of Seasonal Affective Disorder, Clinical & Academic Publishing, Vancouver, BC, Canada
	A116	Lennon et al., "Azabenzocycloheptenones Part XVIII. Amines and amino-ketones of the tetrahydro-3-benzazepine-1-one series", <i>J. Chem. Soc. Perkin Transacts.</i> (1975) 7:622-626
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	A118	Macdonald et al., "Design and synthesis of trans-3-(2-(4-((3-(3-(5-methyl-1,2,4-oxadiazolyl))-phenyl)carboxamido)cyclohexyl)ethyl)-7-methylsulfonyl-2,3,4,5-tetrahydro-1H-3-benzazepine (SB-414796): A Potent and selective dopamine D _{sub.3} Receptor Antagonist", <i>J. Med. Chem.</i> 46:4952-4964 (2003) American Chemical Society
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	A121	National Institute on Drug Abuse, Proceedings of the 41 st Annual Scientific Meeting (1979) pp. 356-401
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	A142	Tsuang et al., "Towards the Prevention of Schizophrenia", <i>Biol. Psychiatry</i> 48:349-356 (2000)
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